

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

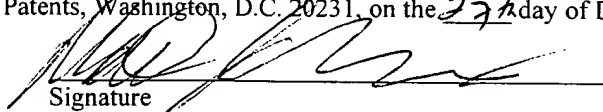
Applicants: Zoran Cakeljic, et al.  
Serial No: 09/224,637  
Filed: December 31, 1998  
For: APPARATUS AND METHODS OPERATING A COMPUTER STORAGE SYSTEM  
Examiner: Pierre M. Vital  
Art Unit: 2186



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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 27<sup>th</sup> day of December, 2001.

  
Signature

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

Transmitted herewith for filing are the following documents:

- ☒ Information Disclosure Statement and References cited
- ☒ Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$180.00 is enclosed to cover the Information Disclosure Statement fee. Please credit any overpayment or charge any deficiency in the enclosed fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

By: 

Matthew B. Lowrie, Reg. No. 38,228  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
Tel. no. (617) 720-3500  
Attorneys for Applicants



ATTORNEY'S DOCKET NO: E0295/7080 MBL

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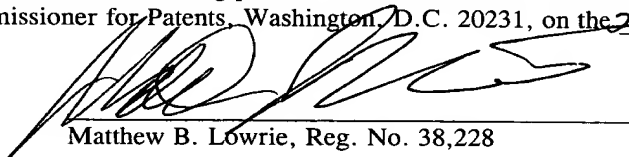
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Applicant: Zoran Cakeljic, et al.  
Serial No: 09/224,637  
Filed: December 31, 1998  
For: APPARATUS AND METHODS OPERATING A COMPUTER STORAGE SYSTEM

Examiner: Not Assigned  
Art Unit: 2756

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Matthew B. Lowrie, Reg. No. 38,228

Commissioner for Patents  
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

The fee of \$180 as set forth in 37 C.F.R. §1.17(p) is enclosed.

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PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The following are remarks concerning the other information cited:

PART III: Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

*Zoran Cakeljic, et al., Applicant(s)*

By: 

Matthew B. Lowrie, Reg.No. 38,228

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, MA 02210

Telephone (617) 720-3500

Docket No. E0295/7080 MBL

Dated: December 27, 2001